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<b>State Bar Court of California</b> SELECT DEPARTMENT SELECT VENUE <b>ALTERNATIVE DISCIPLINE PROGRAM</b>		
Counsel for the State Bar  Click or tap here to enter text.  State Bar # <input type="text" value="State Bar Number"/>	Case Number(s): <input type="text" value="Case Number(s)"/>	For Court use only
SELECT ONE  Click or tap here to enter text.  State Bar # <input type="text" value="State Bar Number"/>		
In the Matter of:  Click or tap here to enter text.  State Bar # <input type="text" value="State Bar Number"/>  (Respondent)	Submitted to: <input type="text" value="SELECT ONE"/>  STIPULATION RE FACTS AND CONCLUSIONS OF LAW  ORDER APPROVING STIPULATION (to be attached by court clerk upon State Bar Court approval of stipulation)  <b>ALTERNATIVE DISCIPLINE PROGRAM</b>  <input type="checkbox"/> PREVIOUS STIPULATION REJECTED	

Note: All information required by this form shall be included in the spaces provided below, unless an attachment is specifically required by the form. A full list of stipulation forms can be found [here](#).

**A. Parties' Acknowledgments:**

- (1) Respondent is an attorney licensed by the State Bar of California, admitted .
- (2) If Respondent is not accepted into the Alternative Discipline Program (ADP), this stipulation will be rejected and will not be binding on the parties, unless otherwise agreed. (Rules Proc. of State Bar, rule 5.382(D).)
- (3) Proposed stipulations for disposition are not binding on the Supreme Court. The parties agree to be bound by the factual stipulations set forth in **section C**, below, even if the conclusions of law or disposition are rejected or changed by the State Bar Court or the Supreme Court. Moreover, if Respondent is accepted into and later released from the ADP, the original stipulation of facts and conclusions of law that was signed by the parties and filed when Respondent entered the ADP remains binding on the parties. (Rules Proc. of State Bar, rule 5.386(D)(2).)
- (4) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated.
- (5) The stipulation, including all attachments, consists of  pages, not including the proof of service.

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- (6) The stipulation contains a statement, included in **section B**, below, that the Respondent either:
  - (a) admits the truth of the facts comprising the stipulation and admits culpability for misconduct; or
  - (b) pleads nolo contendere to those facts and misconduct.
- (7) The stipulation contains a statement of facts in **section C**, below, supporting the acts or omissions acknowledged by Respondent as cause for discipline.
- (8) Conclusions of law, drawn from and specifically referring to the facts set forth in **section C** are included in **section D**, below.
- (9) Dismissed charge(s)/count(s) are also included in **section D**, below.
- (10) Aggravating and mitigating circumstances are included in **sections E and F**, below. An authenticated copy of any prior record of discipline, as specified in Hearing Department [General Order 17-07](#) filed October 19, 2017, must be attached.
- (11) No more than 30 days prior to the submission of this stipulation to the State Bar Court, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for any law enforcement agencies' criminal investigations.
- (12) Any stipulation submitted in a proceeding pursuant to Business and Professions Code section 6049.1 must also attach supporting documents, as specified in Hearing Department [General Order 17-07](#) filed October 19, 2017.
- (13) The parties waive any variance/discrepancy between any Notice of Disciplinary Charges filed in this matter and the factual statements and conclusions of law set forth in this stipulation.
- (14) Any monetary requirements imposed in this matter shall be considered satisfied or waived when authorized by applicable law or orders of any court.
- (15) Upon State Bar Court approval of this stipulation, the court clerk will file the stipulation with the Order Approving Stipulation attached.
- (16) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Business and Professions Code sections 6086.10 and 6140.7 and will pay timely any disciplinary costs imposed in this proceeding.
- (17) Monetary Sanctions—Respondent acknowledges the provisions of Business and Professions Code section 6086.13 and rule 5.137 of the Rules of Procedure of the State Bar. Monetary sanctions must be addressed by the parties in their briefs regarding the recommended level of discipline if Respondent successfully completes ADP and if Respondent is terminated from or fails to successfully complete ADP.
- (18) **Additional Acknowledgements by the Parties:**

[Click or tap here to enter text.](#)

## **B. Plea Statement:**

- (1)  **Respondent Admits Culpability**  
Respondent admits the truth of the facts comprising the stipulation and admits culpability for misconduct; or

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(2)

**Respondent Pleads Nolo Contendere**

Respondent pleads nolo contendere to the facts comprising the stipulation and culpability for misconduct.

- As set forth in the **separate attachment entitled [Nolo Contendere Plea](#)**, the stipulation shows “that the attorney understands that the plea is treated as an admission of the stipulated facts and an admission of culpability.” (Rules Proc. of State Bar, rule 5.56(B).)

**C. Factual Stipulations:**

[Enter Facts Here. If Stipulation Resolves Multiple Cases, Include a Heading for Each Case.]

**D. Conclusions of Law:**

[1] If Any Dismissals – List Case Number, Count, and Alleged Violation Here.

[2] Enter Conclusions of Law Referring to Facts Set Forth in Section C.

**E. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(h) & 1.5]:**

[List Aggravating Circumstances Referring to the Facts Set Forth in Section C and Any Additional Supporting Facts.]

**F. Mitigating Circumstances [Standards 1.2(i) & 1.6]:**

[List Mitigating Circumstances Referring to the Facts Set Forth in Section C and Any Additional Supporting Facts.]